

**ANTITRUST DIVISION  
WORKLOAD STATISTICS  
FY 1991 - 2002**



## INVESTIGATIONS

<b>Total Investigations Initiated, by Primary Type of Conduct<sup>1</sup></b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Sherman §1 - Restraint of Trade	77	84	110	136	98	93	73	80	55	82	80	94
Sherman §2 - Monopoly	5	3	7	22	16	14	8	5	5	8	11	13
Clayton §7 - Mergers	92	84	102	102	134	237	276	228	230	177	175	129
Others	4	5	5	9	1	3	5	2	3	10	9	7

<b>Business Reviews</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Requested	14	9	20	32	49	28	22	16	12	15	14	5
Closed	7	10	21	27	29	37	39	19	9	12	6	6
- Granted	1	6	14	24	17	15	32	12	7	9	5	6
- Denied	-	-	-	1	-	2	1	-	2	-	-	-
- Declined	1	1	2	1	1	2	5	-	-	2	1	-
- Withdrawn	5	3	5	1	11	18	1	7	-	1	-	-
Pending	19	18	17	22	42	33	16	13	16	19	27	28

<sup>1</sup>These figures reflect the number of interagency task forces or committees that held meetings at which Division staff attended. The figures do not reflect the actual number of meetings held.

<b>Hart-Scott-Rodino (HSR) Premerger Notifications</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Received	1,529	1,589	1,846	2,301	2,816	3,094	3,702	4,728	4,642	4,926	2,376	1,187
HSR Investigations Initiated	67	52	72	57	88	186	220	170	172	137	106	76
Number of Cases Filed	2	2	2	9	8	9	14	14	19	20	7	4

<b>Total Preliminary Inquiries: Criminal, Civil Non-Merger, and Merger</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Initiated	149	147	185	254	227	331	344	295	277	241	205	178
Cases Filed or Investigations Ceased	146	144	200	224	258	295	323	282	283	223	208	180
Pending	126	129	114	144	113	149	170	183	177	195	192	145

<b>CIDs Issued</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Total number <sup>2</sup>	482	463	470	1,135	2,029	1,878	1,629	1,488	919	980	822	573
Documentary	21	26	45	206	197	365	290	268	78	56	64	73
Written	55	94	21	40	165	47	31	29	76	98	169	4
Oral	92	143	113	307	707	857	629	605	182	257	301	175
Documentary/Written <sup>3</sup>	314	200	291	582	960	609	679	586	583	569	288	321

<sup>2</sup> A very limited number of investigations with peculiar factual circumstances (e.g., large number of local markets at issue, large number of potentially competitive products, and the large volume of commerce involved) account for the large increase in the numbers of civil investigative demands (CIDs) issued since 1994. For the vast majority of investigations, there was little or no increase in the use of CIDs.

<sup>3</sup> Combined documentary and written CIDs served to one person, corporation, partnership or legal entity.

## CIVIL INVESTIGATIONS

<b>Merger Investigations</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Total Number (HSR and Non-HSR Investigations)	96	87	109	105	134	237	277	230	230	178	147	102
HSR Investigations	67	52	72	57	88	186	220	176	175	137	106	76
- HSR Investigations: Second Requests	34	22	32	25	56	102	120	102	68	55	43	22
Non-HSR Investigations	29	35	37	48	45	49	57	54	55	41	41	26
- Non-HSR Investigations: CIDs Issued	8	9	8	13	9	15	13	20	13	7	2	8
Merger CIDs Issued <sup>4</sup>	-	-	-	358	741	762	1,141	876	690	783	658	399

<b>Non-Merger Investigations</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Total Number	58	63	83	152	93	94	91	94	56	40	30	27
- Non-Merger Investigations: CIDs Issued <sup>5</sup>	11	4	17	54	44	32	24	26	15	9	16	16
Non-Merger CIDs Issued	-	-	-	740	1,247	1,108	409	406	180	168	166	174

<b>Civil Investigation Results</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
<b>Merger Investigations:</b>	13	8	11	22	18	30	31	51	46	48	32	10
Total Number of Public Challenges												
- Actions Filed in District Court <sup>6</sup>	4	4	5	10	9	9	14	15	21	21	8	4
- Transactions Restructured or Abandoned Prior to Filing a Complaint as Result of an Announced Challenge	9	4	6	12	9	21	17	36	25	27	24	6

<sup>4</sup> Some CIDs covered more than one investigation, and some CIDs were issued for previously opened investigations; figures available from FY 1994.

<sup>5</sup> This statistic only includes the first CID issued in an investigation.

<sup>6</sup> These statistics are the same as those reported under "District Court Antitrust Cases - Merger Cases Filed" on page 6.

Civil Investigation Results	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
<b>Non-Merger Investigations:</b> Actions Filed in District Court <sup>7</sup>	-	-	-	-	10	15	20	5	7	2	-	3

## CRIMINAL INVESTIGATIONS AND CASES

Grand Jury Investigations	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Initiated	45	51	51	28	30	27	28	30	19	26	26	26
Terminated	34	57	62	62	45	32	20	17	28	35	18	17
Pending	150	144	133	99	84	79	87	100	91	82	90	97

Criminal Cases Filed	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Total Cases Filed	81	78	84	57	60	42	38	62	57	63	44	33
Individuals Charged	58	65	50	50	32	22	29	52	46	60	39	32
Corporations Charged	70	64	62	55	40	41	24	19	17	40	22	14

<sup>7</sup> Statistics for this section are available only from FY 1995.

## DISTRICT COURT ANTITRUST CASES

<b>TOTAL CIVIL CASES</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	17	12	9	21	24	29	20	23	29	23	9	7
Terminated	21	13	14	10	22	22	24	26	21	12	23	14
- Won	15	12	11	7	21	22	23	25	21	12	23	13
- Lost	4	-	1	-	-	-	1	1	-	-	-	1
- Dismissed	2	1	2	3	1	-	-	-	-	-	-	-
Pending	11	10	5	16	18	25	21	18	26	37	27	20

<b>RESTRAINT OF TRADE - CIVIL (SHERMAN §1)</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	2	3	2	8	12	14	3	4	5	1	-	2
Terminated	5	1	3	1	11	7	13	7	7	-	-	-
- Won	4	1	3	1	11	7	13	7	7	-	-	-
- Lost	1	-	-	-	-	-	-	-	-	-	-	-
- Dismissed	-	-	-	-	-	-	-	-	-	-	-	-

<b>MONOPOLY - CIVIL (SHERMAN §2)</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	-	1	-	1	1	2	1	3	2	1	-	-
Terminated	-	1	-	-	1	2	1	-	2	-	-	-
- Won	-	1	-	-	-	2	1	-	2	-	-	-
- Lost	-	-	-	-	-	-	-	-	-	-	-	-
- Dismissed	-	-	-	-	-	1	-	-	-	-	-	-

MERGER CASES (CLAYTON §7)	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Filed	4	4	5	9	9	9	14	15	21	21	8	4
Terminated	10	5	8	7	7	8	9	17	9	12	23	14
- Won	5	4	6	4	7	8	8	16	9	12	23	13
- Lost	3	-	1	-	-	-	1	1	-	-	-	1
- Dismissed	2	1	1	3	-	-	-	-	-	-	-	-

DAMAGE ACTIONS - CIVIL	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Filed	1	1 <sup>8</sup>	-	1	-	-	-	-	-	-	-	-
Terminated	1	1	-	1	-	-	-	-	-	-	-	-
- Won	1	1	-	1	-	-	-	-	-	-	-	-
- Lost	-	-	-	-	-	-	-	-	-	-	-	-
- Dismissed	-	-	-	-	-	-	-	-	-	-	-	-

OTHER CIVIL	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Filed	10	4	2	2	2	4	2	1	2	-	1	1
Terminated	5	6	3	1	3	5	1	2	3	-	-	-
- Won	5	6	2	1	3	5	1	2	3	-	-	-
- Lost	-	-	1	-	-	-	-	-	-	-	-	-
- Dismissed	-	-	-	-	-	-	-	-	-	-	-	-

<sup>8</sup> This is the same case as counted under "Monopoly - Civil" above. The case was a violation of Section 2, but the settlement also included damages which the defendants paid.

<b>TOTAL CRIMINAL CASES</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	81	84	84	57	60	42	38	62	57	63	44	33
Won	66	101	73	51	65	38	40	64	48	52	38	37
Lost	4	5	3	4	2	5	1	1	2	-	2	1
Pending <sup>9</sup>	-	-	-	-	-	-	-	17	24	35	39	34
Appeal Decisions	8	15	7	9	7	6	4	6	-	-	5	1

<b>RESTRAINT OF TRADE - CRIMINAL (SHERMAN §1)</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	75	70	78	52	49	39	32	57	53	52	37	23
Won	61	92	68	46	58	31	35	59	45	44	29	28
Lost	4	4	2	4	2	4	1	1	2	-	1	1
Pending <sup>10</sup>	-	-	-	-	-	-	-	16	22	30	37	31

<sup>9</sup> This is a new statistic as of the FY 1999 Workload Statistics Report.

<sup>10</sup> This is a new statistic as of the FY 1999 Workload Statistics Report.

<b>OTHER CRIMINAL CASES Charging Primarily Other Federal Crimes such as Perjury, Mail Fraud, Contempt, Obstruction of Justice, or False Statements</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	6	8	5	5	11	3	6	5	4	11	8	10
Won	5	9	5	5	7	7	5	5	3	8	10	9
Lost	-	1	1	-	-	1	-	-	-	-	1	-
Pending <sup>11</sup>	-	-	-	-	-	-	-	1	2	5	2	3

<sup>11</sup> This is a new statistic as of the FY 1999 Workload Statistics Report.

## APPELLATE CASES

<b>ANTITRUST DIVISION CASES COURT OF APPEALS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	18	18	19	10	20	17	14	23	10	10	3	6
Terminated	9	17	13	13	11	13	12	12	6	3	6	2
- Won	8	14	8	11	8	8	9	9	4	3	4	2
- Lost	1	3	3	2	3	-	-	3	2	-	1	-
- Dismissed	-	-	2	-	-	5	3	-	-	-	1	-

<b>ANTITRUST DIVISION CASES SUPREME COURT</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	3	2	4	4	1	-	2	2	1	1	1	-
Terminated	7	-	4	-	3	4	1	-	1	-	1	1
- Won	7	-	3	-	2	-	1	-	1	-	1	1
- Lost	-	-	1	-	1	-	-	-	-	-	-	-
- Dismissed	-	-	-	-	-	-	4	-	-	-	-	-

<b>AMICUS CASES COURT OF APPEALS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	-	1	-	2	4	6	3	3	-	5	2	3
Terminated	1	-	1	-	4	4	7	2	1	2	2	3
- Won	1	-	1	-	1	2	6	1	1	2	1	2
- Lost	-	-	-	-	3	2	-	1	-	-	1	-
- Dismissed	-	-	-	-	-	-	1	-	-	-	-	1

<b>AMICUS CASES</b> <b>SUPREME COURT</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	4	5	-	1	1	3	2	4	1	1	1	1
Terminated	5	1	4	1	1	3	1	4	-	1	1	1
- Won	3	1	4	-	1	1	1	3	-	1	1	1
- Lost	2	-	-	-	-	2	-	1	-	-	-	-
- Dismissed	-	-	-	1	-	-	-	-	-	-	-	-

<b>OTHER AGENCIES' CASES</b> <b>(ADMINISTRATIVE LAW CASES)</b> <b>COURT OF APPEALS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
- Filed	103	113	134	159	130	111	211	428	113	69	35	49
- Terminated	93	56	106	38	121	99	63	52	110	85	34	53
Won	47	21	55	15	68	30	23	20	47	21	17	14
Lost	14	7	16	5	11	10	7	7	8	1	15	5
Dismissed	32	28	35	18	42	59	33	25	55	63	2	34

<b>OTHER AGENCIES' CASES</b> <b>SUPREME COURT</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Filed	7	4	-	-	-	5	1	10	7	4	3	-
Terminated	6	3	3	1	-	4	-	-	4	2	1	1
- Won	6	3	2	1	-	2	-	-	3	1	1	-
- Lost	-	-	-	-	-	2	-	-	1	-	-	-
- Dismissed	-	-	1	-	-	-	-	-	-	1	-	1

## JUDGMENT ENFORCEMENT

<b>JUDGMENT ENFORCEMENT<sup>12</sup></b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Divestitures	2	2	3	1	4	6	13	9	20	17	9	1
Compliance Reports <sup>13</sup>	82	94	83	79	71	65	60	NA	NA	-	-	-
Decrees Modified/Terminated	4	3	3	9	5	6	1	4	3	4	6	6
Contempt Cases	-	-	-	-	1	1	-	1	3	-	-	1

<b>JUDGMENT ENFORCEMENT: Modification/Termination Investigations<sup>14</sup></b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Initiated	6	6	2	1	-	5	5	6	11	-	-	2
Terminated	5	2	5	9	4	4	3	4	3	6	-	-
Pending	17	21	18	10	6	7	9	11	19	17	15	17

<sup>12</sup> This category of statistics does not include activity concerning the enforcement of the modified final judgement in the AT&T case.

<sup>13</sup> This data was not available for FY 1998 or FY 1999.

<sup>14</sup> These are investigations to determine whether a judgment should be modified or terminated.

## CASE RESULTS<sup>15</sup>

<b>FINES IMPOSED<sup>16</sup></b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
<b>Individual:</b> Total Individual Fines (\$000)	2,806	1,275	1,868	1,240	1,211	1,572	1,247	2,499	12,273	5,180	2,019	8,685
Number of Individuals Fined	37	27	45	33	25	16	17	20	50	43	20	19
<b>Corporate:</b> Total Corporate Fines (\$000)	17,573	22,430	40,427	38,996	40,222	25,245	203,931	241,645	959,866	303,241	270,778	93,826
Number of Corporations Fined	55	45	64	59	32	31	30	18	25	26	14	17
<b>Total Fines Imposed (\$000)</b>	20,379	23,705	42,295	40,236	41,433	26,817	205,178	244,144	972,138	308,421	272,797	102,511

<b>OTHER CASE RESULTS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
<b>Restitution Imposed in Connection with Criminal Cases<sup>17</sup> (\$000)</b>	3,185	3,550	950	4,220	1,200	799	275	4,250	2,343	1,713	31,083	7,278
Number of Defendants	16	15	16	4	7	5	2	3	12	20	17	21
<b>HSR Penalties (\$000)</b>	6,775	2,000	1,976	2,600	425	7,650	5,796	500	3,285	-	-	4,000
Number of Corporations	9	3	-	1	-	4	3	2	4	-	2	2
Number of Individuals	-	-	1	-	1	-	1	-	1	-	-	-

<sup>15</sup> Dollar figures are amounts imposed during the fiscal year.

<sup>16</sup> Does not include fines "agreed to" by defendants in plea agreements during the fiscal year but not yet imposed by the court.

<sup>17</sup> Frequently restitution is not sought in criminal antitrust cases, as damages are obtained through treble damage actions filed by the victims.

## CONFINEMENT

<b>INCARCERATION</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Number of Individuals Sentenced	50	29	56	40	27	19	17	16	54	47	24	36
Number of Individuals Sentenced to Incarceration Time	22	11	14	9	16	5	3	5	28	18	11	19
Total Number of Actual Days of Incarceration Imposed by the Court	6,594	2,488	4,726	1,497	3,902	2,431	789	1,301	6,662	5,584	4,800	10,501

<b>OTHER CONFINEMENT<sup>18</sup></b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Individuals Sentenced to Other Confinement	16	15	26	22	16	8	9	10	25	20	12	16
Number of Other Confinement Days	1,519	1,734	3,552	2,475	2,933	1,148	1,270	1,530	2,850	2,567	1,844	3,607

<sup>18</sup> Includes house arrest or confinement to a halfway house or community treatment center.

## GENERAL ANTITRUST ACTIVITIES

<b>PARTICIPATION IN BANK MERGER PROCEEDINGS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Total Screenings	1,598	1,539	1,873	1,927	1,897	1,788	1,850	1,923	1,698	1,373	1,328	1,079
Screenings Requiring Competitive Analysis	1,117	1,141	1,201	1,177	1,211	1,231	1,197	1,225	1,109	945	859	628

<b>INTERNATIONAL ANTITRUST ACTIVITY</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
Notifications to Foreign Governments. and International Organizations	63	53	53	60	76	73	77	96	93	143	126	82
Representation at and Negotiations with Foreign Governments. and International Organizations	26	43	18	44	40	42	47	50	55	51	53	25
Applications for Export Trade Certificates	7	10	8	6	7	5	4	3	5	6	3	2
Certificates Issued	8	9	6	7	6	3	4	3	3	4	3	2

FILINGS IN REGULATORY AGENCY PROCEEDINGS <sup>19</sup>	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Department of Transportation	4	6	2	-	3	-	-	2	-	-	-	3
Federal Energy Regulatory Commission	3	9	4	1	2	-	-	-	1	-	-	-
Securities and Exchange Commission	1	-	-	-	2	1	-	1	-	2	-	-
Federal Communications Commission	7	5	2	2	1	8	5	6	-	5	7	13
Federal Maritime Commission	8	10	2	2	1	-	-	-	-	-	-	-
Interstate Commerce Commission	3	9	4	1	4	-	-	-	-	1	-	-
Other	7	9	6	4	1	1	3	2	-	6	-	-
Total	33	48	20	10	14	10	8	11	1	14	7	16

<sup>19</sup> These statistics may include counts of multiple filings for one proceeding.

<b>OTHER ANTITRUST ACTIONS</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>
4F (HSR) Information	7	16	14	5	11	21	9	2	2	2	1	3
Advice on Surplus Property	1	5	1	-	1	-	-	-	5	-	-	4
Meetings of Interagency Task Forces/Committees <sup>20</sup>	35	57	99	54	56	13	41	28	35	47	46	41
Congressional Appearances	8	7	1	10	7	5	4	10	11	20	4	5
Congressional/OMB Requests for Comments	757	827	497	782	514	675	579	779	629	568	424	384
White House Referrals	154	278	293	411	450	325	137	722	196	25	625	157
Congressional Correspondence	305	317	275	422	586	365	435	581	628	578	597	477
Statutory Reports	62	52	88	37	77	-	3	-	5	4	21	13
Joint Research & Development Filings	56	58	78	74	109	83	56	38	61	47	24	29
FOIA Requests	278	482	357	313	368	335	226	276	247	242	207	154
Privacy Act Requests	18	7	4	2	5	8	17	6	3	9	16	11

<sup>20</sup>Reflects the primary type of conduct under investigation at the outset. See "District Court Antitrust Cases" for numbers of cases by offense. Does not include business reviews or premerger notifications, but includes investigations initiated as a result of premerger notifications. We have adjusted FY 1981 through FY 1990 records which previously counted investigations each time they went into a different phase. Matters are now counted only once, even if they go through several stages of investigation.